



Alameda Corridor-East Construction Authority

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ALAMEDA CORRIDOR-EAST CONSTRUCTION AUTHORITY FINANCE COMMITTEE MEETING AGENDA April 26, 2013 11AM ACE Construction Authority Offices 4900 Rivergrade Road Suite A120 – Board Conference Room Irwindale, CA 91706

Members of the public may comment on any item on the agenda
The San Gabriel Valley Corporate Center (4900 Rivergrade Road)
is accessible to persons using wheelchairs and with other disabilities. Informational material
will be available in large print. Assistive listening devices, materials in other alternate formats,
American Sign Language interpreters and other accommodations will be made available upon request.
Requests should be made to Deanna Stanley at 626-962-9292 ext. 142 or deannas@theaceproject.org
Providing at least 72 hours notice will help ensure availability.

- I. Roll Call and Introductions
- II. Public Comment
- III. Status Report on ACE Contract Audits (Pages 1 – 4)
- IV. Review of Quarterly Progress Reports (Pages 5 – 15)
- V. Update on Working Capital Financing Program (Pages 16 – 18)
- VI. Adjournment

The ACE Construction Authority is constituted of seven (7) member jurisdiction; the Cities of El Monte, Industry, Montebello, San Gabriel and Pomona, the County of Los Angeles and the San Gabriel Valley Council of Governments. A San Bernardino County Council of Governments representative is an ex-officio Board member. Each member or alternate has one vote. A quorum of the ACE Construction Authority is no less than four (4) of its total voting membership. Actions taken by the ACE Construction Authority shall be by simple majority of the members present with a quorum in attendance except for personnel actions, the annual budget, matters dealing with the Administrative Code or matters requiring subsequent approval by the SGVCOG, all of which shall require five (5) votes. All disclosable public records related to this meeting are available for viewing at the ACE office above during normal working hours.

Quarterly Status Report on ACE Contract Audits



Contract Audit Status Report (1/1/13 to 3/31/13)

Contract Audits Completed This Quarter

Contractor/Project	Audit Period	Value
Moffatt & Nichol – Puente	Post-award	\$2,908,168
Paragon Partners – various projects	7/1/08 – 6/30/12	\$5,328,658
URS Corporation - Durfee	Post-award	\$1,338,567
Wagner Engineering – various projects	7/1/08 – 6/30/12	\$771,842

Contract Audit Status Report (1/1/13 to 3/31/13)

Summary of Audit Findings

Contractor/Project	Questioned Costs	To Be Resolved
Moffatt & Nichol – Puente	\$72,513	\$0
Paragon Partners – various projects	\$6,722	\$0
URS Corporation - Durfee	\$5,637	\$0
Wagner Engineering – various projects	\$37,078	\$0
Total	\$121,950	\$0

Contract Audit Status Report (Through 3/31/13)

Auditor	Completed Audits Quarter/Total	Amount Recovered This Qtr	Amount Recovered Total	Audits To Be Done
MTA (5/01-6/06)	0 / 130	\$0	\$427,520	0
ACE (4/08-Present)	4 / 106	\$121,950	\$2,420,510	8*
Total	4 / 236	\$121,950	\$2,848,030	8

Previous Quarter	Add:		Less:		To Be Done *
	This Quarter	Completed	This Quarter	Completed	
1	0	0	0	0	1
3	0	0	2	2	1
0	0	0	0	0	0
7	1	1	2	2	6
<u>11</u>	<u>1</u>	<u>1</u>	<u>4</u>	<u>4</u>	<u>8</u>

Audits needed to bring CPFF contracts up to 2008
 Audits needed to get through 2011 costs
 For Close-out
 New contracts subject to audit
 Total (ACE)

ACE Construction Authority

FY 2013 Third Quarter

Project Reports

As of March 31, 2013



EXHIBIT I - ACE REVENUE BY SOURCE
As of March 31, 2013

Grant	Authorized	Adjustments	Note	Net	Allocated	Surplus	
				Authorized	To Projects		
Federal							
TEA - 21	Sect 0491	6,500,000	(402,000)	a	6,098,000	6,098,000	-
TEA - 21	Sect 1017	2,205,000	(136,333)	b	2,068,667	2,063,683	4,984
TEA - 21	Sect 1138	17,250,000	-		17,250,000	17,250,000	-
TEA - 21	Sect 1533	100,000,000	-		100,000,000	100,000,000	-
TEA - 21	Sect 198	9,562,500	(572,760)	b	8,989,740	8,989,740	-
Hiway Fund FY 01		1,500,000	(3,300)	b	1,496,700	1,496,700	-
NCPD FY 2000		1,240,000	-		1,240,000	1,240,000	-
NCPD FY 2001		2,400,000	(2,565)	b	2,397,435	2,397,435	-
NCPD FY 2002		4,000,000	(116,000)	b	3,884,000	3,884,000	-
NCPD FY 2003		1,495,000	(10,000)	b	1,485,000	1,485,000	-
NCPD FY 2004		2,000,000	(119,163)	b	1,880,837	1,880,837	-
STP FY 2006		4,200,000	(42,000)	b	4,158,000	4,158,000	-
STP FY 2009		570,000	-		570,000	570,000	-
STP FY 2010		500,000	(85)	b	499,915	499,915	-
AAA FY2010		1,348,770	-	c	1,348,770	-	1,348,770
SAFETEA-LU FY 05	Sect 1701	2,528,000	(255,185)	d	2,272,815	1,883,142	389,673
SAFETEA-LU FY 06	Sect 1701	2,528,000	(254,883)	d	2,273,117	2,273,117	-
SAFETEA-LU FY 07	Sect 1701	2,528,000	(252,029)	d	2,275,971	2,275,971	-
SAFETEA-LU FY 08	Sect 1701	2,528,000	(252,029)	d	2,275,971	2,275,971	-
SAFETEA-LU FY 09	Sect 1701	2,528,000	(251,136)	d	2,276,864	2,276,864	-
SAFETEA-LU FY 05	Sect 1934	3,000,000	(280,077)	d	2,719,923	2,719,923	-
SAFETEA-LU FY 06	Sect 1934	6,000,000	(560,154)	d	5,439,846	5,439,846	-
SAFETEA-LU FY 07	Sect 1934	7,500,000	(700,192)	d	6,799,808	6,799,808	-
SAFETEA-LU FY 08	Sect 1934	7,500,000	(700,192)	d	6,799,808	6,799,808	-
SAFETEA-LU FY 09	Sect 1934	6,000,000	(560,154)	d	5,439,846	3,130,775	2,309,071
SAFETEA-LU FY 05	Sect 1301	3,125,000	(247,763)	d	2,877,237	2,877,237	-
SAFETEA-LU FY 06	Sect 1301	6,250,000	(495,526)	d	5,754,474	5,754,474	-
SAFETEA-LU FY 07	Sect 1301	7,812,500	(619,407)	d	7,193,093	7,193,093	-
SAFETEA-LU FY 08	Sect 1301	7,812,500	(619,407)	d	7,193,093	7,193,093	-
SAFETEA-LU FY 09	Sect 1301	6,250,000	(495,526)	d	5,754,474	5,353,599	400,875
FRA		2,544,100	-		2,544,100	2,544,100	-
ISTEA (Nogales-LA)		6,936,147	-		6,936,147	6,936,147	-
CMAQ (Nogales-LA)		6,347,000	-		6,347,000	6,347,000	-
	Subtotal	244,488,517	(7,947,866)		236,540,651	232,087,278	4,453,373
State							
ITIP		39,000,000	(18,426)	e	38,981,574	38,981,574	-
PUC (Brea Canyon/Nogales-LA)		10,000,000	-		10,000,000	10,000,000	-
TCRP		150,000,000	(19,700,000)	f	130,300,000	130,300,000	-
Prop 1B - HRCSA (Nogales-LA)		25,600,000	-		25,600,000	25,600,000	-
TCIF (SG Trench)		267,938,000	-		267,938,000	267,938,000	-
TCIF (Baldwin)		37,638,000	-		37,638,000	37,638,000	-
	Subtotal	530,176,000	(19,718,426)		510,457,574	510,457,574	-
Local							
MTA 1 (FY 98-02)	C 25%	37,500,000	(23,360,000)	g	14,140,000	14,140,000	-
MTA 2 (FY 03-05)	C 10%	1,857,000	-		1,857,000	1,857,000	-
MTA 2 (FY 03-05)	C 25%	13,178,000	-		13,178,000	13,178,000	-
MTA 2 (FY 03-05)	AB 3090	9,308,000	-		9,308,000	9,308,000	-
MTA 2 (FY 03-05)	STIP-RIP	5,496,000	-		5,496,000	5,496,000	-
MTA 3 (FY 06-09)	C 25%	85,000,000	-		85,000,000	85,000,000	-
MTA 4 (FY 09-15)	C 25%-Remaining	28,566,800	-		28,566,800	28,566,800	-
MTA 5 (FY 09-15)	C 25%-Supplemental	112,323,000	(9,978,000)	h	102,345,000	45,790,850	56,554,150
MTA 6 (Nogales-LA)	C 25%	28,849,000	-		28,849,000	28,849,000	-
MTA 7 (Phase II)	Measure R	135,000,000	-	i	135,000,000	135,000,000	-
	Subtotal	457,077,800	(33,338,000)		423,739,800	367,185,650	56,554,150
Other Sources							
Railroad (UPRR/Metrolink)		31,921,000	-	j	31,921,000	11,178,000	20,743,000
Cities (Nogales-LA)		9,600,000	-		9,600,000	9,600,000	-
Betterments (Cities/Metrolink)		22,773,575	-		22,773,575	22,773,575	-
MWD (Brea Canyon)		2,207,402	-		2,207,402	2,207,402	-
	Subtotal	66,501,977	-		66,501,977	45,758,977	20,743,000
TOTAL GRANTS		1,298,244,294	(61,004,292)		1,237,240,002	1,155,489,479	81,750,523
Other Income							
Property Rental Income		139,316	-		139,316	-	139,316
Property Sale		2,894,012	-		2,894,012	-	2,894,012
	Subtotal	3,033,328	-		3,033,328	-	3,033,328
TOTAL ACE Construction		1,301,277,622	(61,004,292)		1,240,273,330	1,155,489,479	84,783,851

Notes:

- a) Transferred by LA County to ACE for the Nogales-LA project.
- b) Federal budgetary reduction.
- c) Appropriation for ACE still to be determined by SCAG.
- d) Based on Caltrans updated OA, appropriations reduced by 10% instead of 15%.
- e) \$18K for Ramona lapsed in June 2008 prior to project closeout.
- f) \$19.7 million programmed to other entities (yet to be allocated by State).
- g) Allocated to City of LA.
- h) Adjustment made by MTA based on 17% of total project cost.
- i) Of the \$400M Measure R funds for the ACE program, \$42M has an executed MOU and \$93M is being requested for Phase II projects.
- j) Based on individual projects, UPRR paid 1/2 before and 1/2 after construction phase.
\$30.921M committed by UPRR but due to phasing of construction, only \$14.597M has been received.

EXHIBIT II - ACE PROJECTS FUNDING BY SOURCE
As of March 31, 2013
(\$ 000's)

Project	Task	Fed #	All													Chies/Better		
			TEA-21	MCPD/STP	SAFETEA	ITIP	ISTEA/CMQ	FRA/PUC	TCRP	Prop 1B	MTA 1	MTA 2	MTA 3	MTA 4	MTA 5		MTA 6	MTA 7
Baldwin	Design	(017)	5,493	3,013	-	-	753	-	-	-	-	-	-	-	-	-	-	-
	ROW	(028)	37,322	-	24,890	-	-	-	-	-	-	-	-	-	-	-	-	-
	Constr	(017)	37,638	-	-	-	-	-	37,638	-	-	-	-	-	-	-	-	-
Baldwin Total			80,453	3,013	24,890	753	-	-	37,638	-	-	-	9,877	3,196	1,085	-	-	-
Puente	Design	(034)	8,275	-	216	-	-	-	-	-	-	-	-	84	7,975	-	-	-
	ROW	n/a	29,045	-	-	-	-	-	-	-	-	-	-	-	15,016	-	-	-
	Constr	n/a	16,154	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Puente Total			53,474	-	216	-	-	-	-	-	-	-	-	84	22,991	-	-	30,183
Fairway-LA	Design	(036)	8,620	-	240	-	-	-	-	-	-	-	-	60	8,320	-	-	-
	ROW	n/a	35,647	-	-	-	-	-	-	-	-	-	-	-	2,855	-	-	-
	Constr	n/a	13,773	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Fairway-LA Sub-Total			58,040	-	240	-	-	-	-	-	-	-	-	60	11,175	-	-	46,565
Fairway-LA Total			5,000	-	240	-	-	-	-	-	-	-	-	60	11,175	-	-	5,000
			63,040	-	240	-	-	-	-	-	-	-	-	60	11,175	-	-	5,000
Nogales-LA	Design	(032)	4,759	932	-	-	-	-	-	-	-	-	-	-	-	1,819	-	-
	ROW	(035)	41,265	5,166	-	-	6,936	1,109	-	-	-	-	-	-	-	22,647	-	-
	Constr	(032)	60,735	570	12,810	-	6,347	6,435	25,600	-	-	-	-	-	1,623	4,383	-	2,278
Nogales-LA Total			106,759	6,098	12,810	-	13,283	7,544	25,600	-	-	-	-	-	1,623	28,849	-	2,278
SG Trench	Design	(030)	33,784	120	1,981	-	-	-	-	3	27	3,006	1,365	-	-	-	-	15,470
	ROW	n/a	29,215	-	500	-	-	-	-	-	-	-	-	-	-	-	-	26,530
	Constr	(037)(038)	267,938	-	-	-	-	-	267,938	-	-	-	-	-	-	-	-	-
SG Trench Sub-Total			330,937	120	2,381	-	-	-	267,938	3	27	3,006	1,365	-	-	-	-	42,000
SG Trench Total			333,862	120	2,381	-	-	-	267,938	3	27	3,006	1,365	-	-	-	-	42,000
Durfee	Design	(039)	8,730	-	6,984	-	-	-	-	-	-	-	-	-	1,746	-	-	-
	ROW	n/a	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Constr	n/a	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Durfee Total			8,730	-	6,984	-	-	-	-	-	-	-	-	-	1,746	-	-	-
Hamilton	Design	n/a	7,926	-	-	-	-	-	-	-	-	-	-	-	1,000	-	-	6,926
	ROW	n/a	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Constr	n/a	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Hamilton Total			7,926	-	-	-	-	-	-	-	-	-	-	-	1,000	-	-	6,926
Fullerton	Design	n/a	10,526	-	-	-	-	-	-	-	-	-	-	-	1,200	-	-	9,326
	ROW	n/a	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Constr	n/a	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Fullerton Total			10,526	-	-	-	-	-	-	-	-	-	-	-	1,200	-	-	9,326
Temple	Design	(009)	7,265	4,680	-	-	-	-	-	1,168	151	978	288	-	-	-	-	-
	ROW	(009)	12,367	7,768	-	-	-	-	-	969	1,370	2,260	-	-	-	-	-	-
	Constr	(009)	75,364	36,073	3,884	-	1,387	-	1,387	33	7,589	13,505	3,950	-	-	-	-	7,900
Temple Total			94,997	48,522	3,884	-	1,043	-	1,387	2,169	9,110	16,743	4,238	-	-	-	-	7,900
Sunset	Design	(020)	4,739	-	-	-	-	-	-	-	-	-	-	-	339	-	-	-
	ROW	(026)	3,326	100	1,673	-	-	-	100	-	-	-	-	-	565	-	-	888
	Constr	TCRP	79,363	-	-	-	-	-	47,720	-	-	-	-	-	14,607	16,691	-	345
Sunset Sub-Total			87,428	100	1,673	-	-	-	52,220	-	-	-	-	-	15,511	16,691	-	1,232
Sunset Total			93,862	100	1,673	-	-	-	52,220	-	-	-	-	-	15,511	16,691	-	6,434
Total			500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000	500,000

EXHIBIT II - ACE PROJECTS FUNDING BY SOURCE
As of March 31, 2013
(\$ '000's)

Project	Task	Fed #	All																	
			TEA-21	NCPD/STP	SAFETEA	ITIP	ISTEA/CMQ	FRA/PUC	TCRP	Prop 1B	MTA 1	MTA 2	MTA 3	MTA 4	MTA 5	MTA 6	MTA 7	Railroad	Cities/Better	
East End	Design	(003)	4,295	1,952	1,240	-	952	-	-	-	-	97	54	-	-	-	-	-	-	
Reservoir	ROW	(003)	4,478	3,131	-	1,347	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Design	(010)	3,620	2,896	-	600	-	-	-	122	2	-	-	-	-	-	-	-	-	
	ROW	(010)	9,431	5,718	-	2,255	-	-	-	-	286	919	253	-	-	-	-	-	-	
EE/Reservoir	Constr	TCRP	57,376	-	-	407	-	-	46,780	-	433	9,757	-	-	-	-	-	-	-	
	Total		79,200	13,697	1,240	5,561	-	-	46,780	-	818	10,730	253	-	-	-	-	-	-	
Brea Canyon	Design	(019)	4,556	1,191	-	410	-	-	2,500	-	-	455	-	-	-	-	-	-	-	
	ROW	(027)	12,881	-	1,485	5,521	-	-	2,000	-	-	3,875	-	-	-	-	-	-	-	
	Constr	TCRP	49,959	-	-	-	-	5,000	21,007	-	-	21,745	-	-	-	-	-	-	2,207	
Brea Canyon Sub-Total	Better	n/a	67,396	1,191	1,485	5,521	410	-	5,000	25,507	-	26,075	-	-	-	-	-	-	2,207	
	Total		73,903	1,191	1,485	5,521	410	-	5,000	25,507	-	26,075	-	-	-	-	-	-	6,507	
Ramona	Design	(002)	4,355	3,560	-	-	-	-	-	-	795	-	-	-	-	-	-	-	-	
	ROW	(002)	8,156	2,400	-	-	-	-	-	-	215	5,494	48	-	-	-	-	-	-	
	Constr	(002)	38,839	16,684	4,158	5,533	-	-	-	-	8,083	2,324	1,057	-	-	-	-	-	1,000	
Ramona Sub-Total	Better	n/a	51,350	22,644	4,158	5,533	-	-	-	1,010	13,577	2,372	1,057	-	-	-	-	-	1,000	
	Total		53,091	22,644	4,158	5,533	-	-	-	1,010	13,577	2,372	1,680	-	-	-	-	-	1,119	
Nogales-AH	Design	(005)	4,034	2,560	-	1,473	-	-	-	-	1	-	-	-	-	-	-	-	-	
	ROW	(005)	17,231	9,829	2,397	2,364	-	-	-	700	1,940	-	-	-	-	-	-	-	-	
	Constr	(005)	28,434	1,841	-	21,580	-	4,406	-	382	225	-	-	-	-	-	-	-	-	
Nogales-AH Sub-Total	Better	n/a	49,698	14,230	2,397	25,417	-	4,406	-	1,082	2,166	-	-	-	-	-	-	-	100	
	Total		49,798	14,230	2,397	25,417	-	4,406	-	1,082	2,166	-	-	-	-	-	-	-	100	
Jump Start	Design	(001)	8,057	6,072	-	265	-	-	-	1,541	-	179	-	-	-	-	-	-	-	
	ROW	(001)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Constr	(001)	3,582	2,866	-	-	-	-	-	612	239	(134)	-	-	-	-	-	-	-	
Jump Start Sub-Total	Better	n/a	11,639	8,938	-	265	-	-	-	2,153	239	45	-	-	-	-	-	-	-	
	Total		14,221	11,804	-	530	-	-	-	2,153	239	45	-	-	-	-	-	-	-	
JS - Mission	Design	(006)	242	240	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-	
	ROW	(006)	158	160	-	-	-	-	-	-	(2)	-	-	-	-	-	-	-	-	
	Constr	(015)	2,270	1,578	-	-	-	-	-	515	96	81	-	-	-	-	-	-	-	
JS - Mission Sub-Total	Better	n/a	2,670	1,978	-	-	-	-	-	517	94	81	-	-	-	-	-	-	-	
	Total		4,832	3,863	-	-	-	-	-	888	38	43	-	-	-	-	-	-	-	
IRRIIS - Train	Design	(007)	2,936	2,164	-	-	-	-	-	374	167	231	-	-	-	-	-	-	-	
	ROW	(008)	3,553	2,845	-	-	-	-	-	96	615	(2)	-	-	-	-	-	-	-	
	Constr	(014)	4,991	3,992	-	-	-	-	-	-	1,000	(1)	-	-	-	-	-	-	-	
IRRIIS - Traffic	Design	(021)	3,520	2,502	-	-	-	-	-	-	727	290	-	-	-	-	-	-	-	
	Constr	(021)	34,141	26,282	-	265	-	-	-	4,028	2,880	686	-	-	-	-	-	-	-	
Total Projects	Design		1,143,763	135,898	16,115	64,247	38,982	13,283	12,544	130,300	331,176	84,414	28,578	85,000	27,567	42,052	28,849	135,000	11,178	
	ROW		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Constr		1,155,489	135,898	16,115	64,247	38,982	13,283	12,544	130,300	331,176	85,000	28,567	45,791	28,849	135,000	11,178	135,000	11,178	
Total ACE	Design		1,143,763	135,898	16,115	64,247	38,982	13,283	12,544	130,300	331,176	84,414	28,578	85,000	27,567	42,052	28,849	135,000	11,178	
	Constr		1,155,489	135,898	16,115	64,247	38,982	13,283	12,544	130,300	331,176	85,000	28,567	45,791	28,849	135,000	11,178	135,000	11,178	
Net Authorized Allocated to Projects	Design		1,237,240	135,903	17,464	67,346	38,982	13,283	12,544	130,300	331,176	14,140	29,839	85,000	28,567	102,345	28,849	135,000	31,921	
	ROW		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Constr		1,155,489	135,898	16,115	64,247	38,982	13,283	12,544	130,300	331,176	14,140	29,839	85,000	28,567	102,345	28,849	135,000	31,921	
Surplus / (Shortfall)	Design		81,751	5	1,349	3,099	0	(0)	(0)	(0)	-	-	-	-	0	56,554	-	-	20,743	
	Constr		3,033	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Other Income	Design		84,784	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Constr		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
Total Surplus / (Shortfall)	Design		84,784	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	Constr		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	

MTA 1 : MOU P0004367
MTA 2 : MOU 8002
MTA 3-5 : AMENDMENTS TO MOU 8002
MTA 6 : MOU P000F1159 (Nogales-LA)
MTA 7 : MOU 8002R (Measure R-Phase II)

Exhibit III ACE Projects Allocation vs. Actual Summary

As of March 31, 2013

		(\$ 000's)									
	Project	Cost Estimate (1997)	Cost Estimate (2006)	Allocated (Exhibit II)	Betterments /Other (Exhibit II)	Railroad	(Exhibit II) Total Allocated to Projects	ITD Expenditures (Exhibit V)	Estimate at ** Completion Exhibit IV	Variance (Allocated vs. Estimate at Completion)	Status
Active Projects											
	Start-up/Misc			\$ 11,726			\$ 11,726	\$ 8,600			
1	Baldwin Avenue	\$ 23,994	\$ 64,765	80,453	\$ -	\$ -	\$ 80,453	41,090	\$ 76,096	\$ 4,357	Active
2	Durfee Road	N/A	N/A	8,730	-	-	8,730	645	8,738	(8)	PE only
3	Fairway Drive (LA)	N/A	N/A	58,040	5,000	-	63,040	5,827	106,073	(48,033)	Active
4	Fullerton Road	N/A	N/A	10,526	-	-	10,526	992	9,306	1,220	PE only
5	Hamilton Blvd.	N/A	N/A	7,926	-	-	7,926	599	6,875	1,051	PE only
6	Nogales South (LA)	24,307	N/A	106,759	-	-	106,759	32,897	111,098	(4,339)	Active
7	Puente Avenue	N/A	N/A	53,474	-	-	53,474	14,844	84,553	(31,079)	Active
8	San Gabriel Trench	198,205	N/A	330,937	2,925	-	333,862	54,377	336,491	(5,554)	Active
9	Temple Avenue	35,985	80,272	94,997	-	7,900	94,997	88,471	92,120	2,877	Active
10	Sunset Avenue	22,259	70,502	87,428	6,434	-	93,862	87,360	87,360	68	Closed
11	Brea Canyon	26,571	64,401	67,396	6,507	-	73,903	67,394	67,396	-	Closed
12	East End/Reservoir	56,571	69,180	79,200	-	-	79,200	78,960	79,012	188	Closed
13	Jump Start/Crossing Safety/IRRIS	61,000	35,200	34,141	-	-	34,141	34,142	34,142	-	Closed
14	Nogales North (Alh)	39,636	54,599	49,698	100	-	49,798	49,698	49,698	-	Closed
15	Ramona Blvd.	14,489	47,102	51,350	1,741	1,000	53,091	51,350	51,350	-	Closed
	Subtotal	\$ 503,017	\$ 486,021	\$ 1,132,781	\$ 22,707	\$ 8,900	\$ 1,155,489	\$ 617,245	\$ 1,200,308	\$ (79,252)	
Inactive Projects											
16	Montebello Blvd.										
17	Rose Hills Road										
18	Turnbull Canyon Road										
19	Fairway Drive (Alh)										
	Estimated Total Project Cost	\$ 950,000	\$ 1,400,000								
	Net Authorized	\$ 1,240,273,330									
	Allocated Available	1,155,489,479									
		\$84,783,851									

** Excludes Start-up/Misc of \$11.726M to agree with Exhibit-IV EAC.

Exhibit IV Expenditure Forecast (Active Projects)

As of March 31, 2013
(\$ millions)

ITD	Actual 2012	Budget 2013	Estimate ^A 2013	Forecast			EAC ^C		
				2014	2015	2016 ^B	Q2 FY13	Change	Q3 FY13
Active Projects									
Baldwin	35.5	9.4	5.2	17.0	12.9	3.4	75.9	0.2 ^D	76.1
Durfee Road			1.2	3.8	3.7		8.7		8.7
Fairway Drive (LA)	0.7	5.3	5.9	20.7	37.6	39.2	106.1		106.1
Fullerton Road			1.7	4.0	3.6		9.3		9.3
Hamilton Blvd.			1.3	4.2	1.4		6.9		6.9
Nogales (LA)	17.3	16.9	11.9	17.6	20.0	33.7	110.5	0.6 ^E	111.1
Puente Ave	0.6	14.5	13.7	22.0	30.3	15.4	84.6		84.6
San Gabriel Trench	41.7	16.5	16.7	46.3	96.2	126.8	336.5		336.5
Temple	88.2	3.8	0.1	3.7			92.1		92.1
Completed Projects									
Brea Cyn	67.4						67.4		67.4
Crossing Safety/IRRS	34.1						34.1		34.1
East End/Reservoir	79.0						79.0		79.0
Nogales (Alh)	49.7						49.7		49.7
Ramona	51.3						51.3		51.3
Sunset	86.0	0.1					87.4		87.4
Inactive Projects									
Fairway Dr (Alh)									
Greenwood Ave.									
Turnbull Canyon Rd									
Total	551.5	26.8	57.7	139.3	205.7	218.5	1,199.6	0.8	1,200.4

Note: Project forecasts include indirect cost.

^A 2013 estimate includes mid-year adjustments

^B Includes costs beyond 2016

^C EAC includes 2013 estimate

^D \$200k MIPCO legal expenses

^E \$200k design support during construction, \$200k ROW legal, \$200k ROW acquisitions

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Exhibit V

ACE Expenditures vs. Reimbursements

As of March 31, 2013

Projects	Reimbursement Status (\$ 000)							Indirect Costs Requiring Caltrans Approval	Unreim- burseable
	ITD Expenditures	Received	Billed/Not Received	To Be Billed	MTA Retention	1			
Baldwin	\$ 41,090	\$ 38,749	\$ 1,692	\$ 636	\$ 12				
Durfee	645	374	223	45	4				
Hamilton	599	-	-	599	-				
Fullerton	992	-	-	992	-				
Fairway Drive	5,827	5,662	25	138	2				
Puente Ave.	14,844	14,515	20	307	2				
SG Trench	54,377	49,806	2,376	2,147	48				
Nogales (LA)	32,897	30,297	223	2,281	96				
Sunset	87,360	85,712	1,632	7	9				
Temple	88,471	87,582	657	232	-				
Brea Canyon	67,394	67,077	317	-	-				
Crossing Safety / IRRIS	34,142	33,789	353	-	-				
EE/Reservoir	78,960	78,711	249	-	-				
Nogales (AH)	49,698	49,641	58	-	-				
Ramona	51,350	51,082	268	-	-				
Overapplied Indirect Expense	-	-	-	-	-		(185)	-	
Sub-total Projects	608,645	592,996	8,093	7,385	173		(185)	-	
Project Administration	8,600	8,562		38				(191)	
Total ACE	\$ 617,245	\$ 601,557	\$ 8,093	\$ 7,423	\$ 173		\$ (185)	\$ (191)	

Notes: To be applied against future ICAP

Exhibit VI Project Schedules

As of March 31, 2013

Activity Name	FY2012				FY2013				FY2014				FY2015				FY2016			
	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4
ACTIVE PROJECTS																				
Baldwin																				
Design (Completed)																				
ROW Acquisition																				
Construction																				
Caltrans Closeout																				
Durfee Avenue																				
Design																				
ROW Acquisition																				
Construction																				
Caltrans Closeout (Occurs after reporting period)																				
Fairway Drive (LA)																				
Design																				
ROW Acquisition																				
Construction																				
Caltrans Closeout (Extends beyond reporting period)																				
Fullerton Road																				
Design																				
ROW Acquisition																				
Construction																				
Caltrans Closeout (Occurs after reporting period)																				
Hamilton Blvd.																				
Design																				
ROW Acquisition																				
Construction																				
Caltrans Closeout (Occurs after reporting period)																				
Nogales (LA)																				
Design (Completed)																				
ROW Acquisition (Completed)																				
Construction																				
Caltrans Closeout																				
Puente Avenue																				
Design																				
ROW Acquisition																				
Construction																				
Caltrans Closeout (Occurs after reporting period)																				
San Gabriel Trench																				
Design																				
ROW Acquisition																				
Construction																				
Caltrans Closeout (Occurs after reporting period)																				

Exhibit VI Project Schedules

As of March 31, 2013

Activity Name	FY2012				FY2013				FY2014				FY2015				FY2016			
	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4	FQ1	FQ2	FQ3	FQ4
Design (Completed)	[Gantt bar spanning FY2012 FQ1-FQ4]																			
ROW Acquisition (Completed)	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Construction (Extends beyond reporting period)	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Caltrans Closeout (Occurs after reporting period)	[Gantt bar spanning FY2012 FQ1-FQ4]																			
COMPLETED AND CLOSED																				
No Projnum																				
Nogales Street (Alh)	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Ramona Blvd	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Brea Canyon Blvd	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Crossing Safety/IRRIS	[Gantt bar spanning FY2012 FQ1-FQ4]																			
East End/Reservoir	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Sunset Avenue	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Temple Ave	[Gantt bar spanning FY2012 FQ1-FQ4]																			
ADOPTED PHASE II PROJECTS																				
No Projnum																				
Greenwood Avenue	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Fairway Drive (Alh)	[Gantt bar spanning FY2012 FQ1-FQ4]																			
Turnbull Canyon	[Gantt bar spanning FY2012 FQ1-FQ4]																			

Previous Report
 Actual Work
 Remaining Work
 Critical Remaining Work

Exhibit VII

Treasury / Banking Investments

As of March 31, 2013

Operating Account	\$ 500,000
Money Market Account	1,625,001 *
Money Market (UPRR Contributions)	2,375,664
Total cash on hand	<u>4,500,665</u>
<u>Investments</u>	
LAIF	1,568,697
CBB - US Treasuries	5,343,371
Total investments	<u>6,912,068</u>
<u>Billed / Not Received</u>	8,092,797
<u>To Be Billed</u>	7,423,424
<u>MTA Retention</u>	173,012
<u>Overapplied Indirect Expense</u>	(184,737)
Total Cash & Receivables	<u>26,917,228</u>
<u>Outstanding Debt (Commercial Paper)</u>	20,000,000
Resources In Excess of Debt	<u>\$ 6,917,228</u>

* Note: Excludes \$6,271,438 of unearned revenue, including proceeds from sales of ROW surplus properties and advanced construction funds.

Exhibit VII

Treasury / Banking Investments

As of March 31, 2013

SGVCOG Authorized Investments Ref. #	Bayerische Landesbank Permitted Investment Ref. #	Deposit/ Investment Amount 03-31-13	Bank Deposits	Maximum Maturity	Maximum Percent of Portfolio	Maximum Investment in One Issuer
			Ace deposits are held by Citizens Business Bank (CBB) under a deposit agreement in amounts not to exceed \$50 million. Under the agreement, CBB maintains collateral deposits of at least 110% of the value of all ACE deposits at Bank of the West - Los Angeles in eligible securities. The CBB deposits accounts are:			
		\$ 500,000	Checking Account			
		10,272,103	Money Market Accounts (2) *			
		10,772,103	Total Deposits			
			Permitted Investments			
1	1	5,343,371	U.S. Treasury Obligations	None Stated	None Stated	None Stated
5	11	1,568,697	State's Local Agency Investment Fund	None Stated	None Stated	None Stated
		6,912,068	Total Investments			
		\$ 17,684,171	Total			

SGVCOG and Bayerische Landesbank

1. United States Treasury notes, bonds, bills, or certificate of indebtedness, or those for which the faith and credit of the United States are pledged for the payment of principal and interest

SGVCOG

5. Notwithstanding any other provision of law, and local government official, with the consent of the governing body of that agency, having money in its treasury not required for immediate needs, may remit the money to the Treasurer of the State of California for deposit in the Local Agency Investment Fund for the purpose of investment.

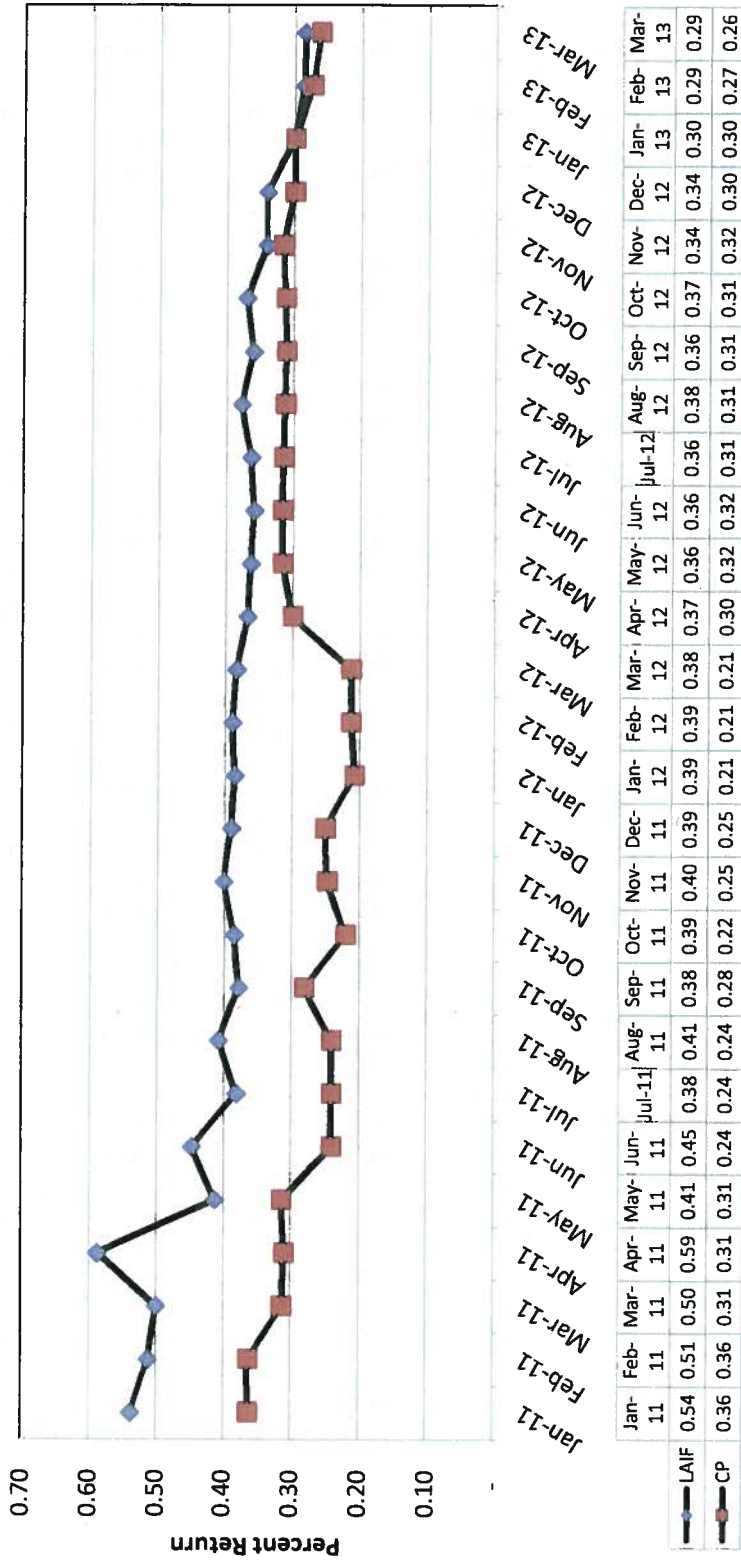
11. State's Local Agency Investment Fund.

* Note: Includes \$6,271,438 of unearned revenue, including sale of surplus ROW properties and advanced constructions funds

Quarterly Status Report on Working Capital Financing Program



ACE Construction Authority 24 month Rolling Investment Return



ACE Construction Authority Percent funds Invested as % of Indebtedness

